



#4

Patent
Attorney's Docket No. 033297-005

In re Patent Application of)
Lynn D. Crawford, et al.) Group Art Unit: 3731
Application No.: 09/882,823) Examiner: Unassigned
Filed: June 15, 2001)
For: BALLOON OCCLUSION DEVICE)
HAVING A PROXIMAL VALVE)

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SEP - 4 2001
TC 3700 MAIL ROOM

**INFORMATION DISCLOSURE STATEMENT
TRANSMITTAL LETTER**

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

Enclosed is an Information Disclosure Statement and accompanying form PTO-1449 for the above-identified patent application.

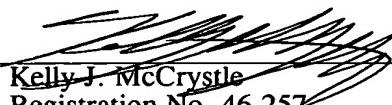
- No additional fee for submission of an IDS is required.
- The fee of \$180.00 (126) as set forth in 37 C.F.R. § 1.17(p) is also enclosed.
- A certification under 37 C.F.R. § 1.97(e) is also enclosed.
- A certification under 37 C.F.R. § 1.97(e), and the fee of \$180.00 (126) as set forth in 37 C.F.R. § 1.17(p) are also enclosed.
- Charge \$ _____ to Deposit Account No. 02-4800 for the fee due.
- A check in the amount of \$ _____ is enclosed for the fee due.

The Commissioner is hereby authorized to charge any appropriate fees under 37 C.F.R. §§ 1.16, 1.17 and 1.21 that may be required by this paper, and to credit any overpayment, to Deposit Account No. 02-4800. This paper is submitted in duplicate.

Respectfully submitted,

BURNS, DOANE, SWECKER & MATHIS, L.L.P.

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By: 
Kelly J. McCrystle
Registration No. 46,257

Date: August 28, 2001



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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

In accordance with the duty of disclosure as set forth in 37 C.F.R. § 1.56, Applicants hereby submit the following information in conformance with 37 C.F.R. §§ 1.97 and 1.98. Pursuant to 37 C.F.R. § 1.98, a copy of each of the documents cited is enclosed.

U.S. PATENTS

U.S. Patent No. 3,467,101 issued to Fogarty, et al. on September 16, 1969
U.S. Patent No. 4,811,737 issued to Rydell on March 14, 1989
U.S. Patent No. 4,846,174 issued to Willard, et al. on July 11, 1989
U.S. Patent No. 4,946,466 issued to Pinchuk, et al. on August 7, 1990
U.S. Patent No. 5,807,330 issued to Teitelbaum on September 15, 1998
U.S. Patent No. 5,833,644 issued to Zadro-Azizi, et al. on November 10, 1998
U.S. Patent No. 5,833,650 issued to Imran on November 10, 1998
U.S. Patent No. 5,893,867 issued to Bagaoisan, et al. on April 13, 1999
U.S. Patent No. 6,015,402 issued to Sahota on January 18, 2000
U.S. Patent No. 6,022,336 issued to Zadro-Azizi, et al. on February 8, 2000
U.S. Patent No. 6,050,972 issued to Zadro-Azizi, et al. on April 18, 2000
U.S. Patent No. 6,068,623 issued to Zadro-Azizi, et al. on May 30, 2000
U.S. Patent No. 6,102,891 issued to Maria van Erp issued on August 15, 2000

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Application No. 09/882,823
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Page 2

U.S. Patent No. 6,135,991 issued to Muni, et al. on October 24, 2000
U.S. Patent No. 6,190,332 B1 issued to Muni, et al. on February 20, 2001
U.S. Patent No. 6,217,567 B1 issued to Zadno-Azizi, et al. on April 17, 2001
U.S. Patent No. 6,228,072 B1 issued to Omaleki, et al. on May 8, 2001
U.S. Patent No. 6,231,588 B1 issued to Zadno-Azizi on May 15, 2001
U.S. Patent No. 6,234,996 B1 issued to Bagaoisan, et al. on May 22, 2001
U.S. Patent No. 6,252,909 B1 issued to Tzannes, et al. on June 26, 2001
U.S. Patent No. 6,270,477 B1 issued to Bagaoisan, et al. on August 7, 2001
U.S. Patent No. 6,273,878 B1 issued to Muni on August 14, 2001

FOREIGN PATENTS

PCT Publication No. WO 88/00844 issued on February 11, 1988

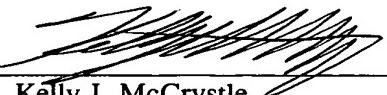
The documents are being submitted within 3 months of the filing or entry of the national stage of this application or before the first Office Action on the merits, whichever is later, therefore no fee or certification is required under 37 C.F.R. § 1.97(b).

To assist the Examiner, the listed on the attached form PTO-1449. It is respectfully requested that an Examiner initialed copy of this form be returned to the undersigned.

Respectfully submitted,

BURNS, DOANE, SWECKER & MATHIS, L.L.P.

By:


Kelly J. McCrystle
Registration No. 46,257

P.O. Box 1404
Alexandria, Virginia 22313-1404
(650)622-2300

Date: August 28, 2001

(11/00)

Substitute for form 1449A/PTO

ATTORNEY'S DKT NO.
033297-005APPLICATION NO.
09/882,823APPLICANT
Lynn D. Crawford, et al.FILING DATE
June 15, 2001GROUP
3731INFORMATION DISCLOSURE
STATEMENT BY APPLICANTAUG 29 2001
U.S. PATENT & TRADEMARK OFFICE

U.S. PATENT DOCUMENTS

Examiner Initials	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication (MM-DD-YYYY)
	Number	Kind Code (if known)		
	3,467,101		Fogarty, et al.	September 16, 1969
	4,811,737		Rydell	March 14, 1989
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	6,068,623		Zadro-Azizi, et al.	May 30, 2000
	6,102,891		Maria van Erp	August 15, 2000
	6,135,991		Muni, et al.	October 24, 2000
	6,190,332	B1	Muni, et al.	February 20, 2001
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	6,228,072	B1	Omaleki, et al.	May 8, 2001
	6,231,588	B1	Zadro-Azizi	May 15, 2001
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	6,252,909	B1	Tzannes, et al.	June 26, 2001
	6,270,477	B1	Bagaoisan, et al.	August 7, 2001
	6,273,878	B1	Muni	August 14, 2001

FOREIGN PATENT DOCUMENTS

Examiner Initials	Foreign Patent Document		Country	Date of Publication (MM-DD-YYYY)	Translation	
	Number	Kind Code (if known)			Yes	No
	WO 88/00844		PCT	February 11, 1988	X	

NON PATENT LITERATURE DOCUMENTS

Examiner Initials	Include name of author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.		
Examiner Signature		Date Considered	

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. SEND TO: Assistant Commissioner for Patents, Washington, D.C. 20231.